0360

1/9

BERESKIN & PARR

Box 401 Scotia Plaza 40 King Street West Toronto, Ontario Canada M5H 3Y2

Telephone:

(416) 364-7311

Facsimile:

(416) 361-1398

TELEFAX TRANSMITTAL

TO:

Initial Patent Examination Division

FIRM:

United States Patent & Trademark Office

FAX NO.:

703-308-77<u>51</u>

FROM:

H. Samuel Frost

DATE:

February 19, 2002

PAGES: 12

(Including cover sheet)

If transmission is interrupted or of poor quality, please notify us immediately by calling Eren Barnett at (416) 957-1600 ext. 6271.

Re: U.S. Patent No. 09/900,468

Our File: 9351-62

Please find attached a response to the official action mailed December 19, 2001; a copy of the official action; and transmittal form;

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the attached filing receipt, transmittal sheet and acknowledgement receipt card are being facsimile transmitted to the U.S. Patent & Trademark Office on the date shown below.

H. Samuel Frost

Registration No. 31,696

Date: February 19, 2002

CONFIDENTIAL

This communication may contain information that is privileged or confidential and is intended only for the use of the individual to whom it is addressed. Any other distribution, copying or disclosure is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone and return the original message to us by mail. Thank you.

Signature





PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

Please type a plus sign (+) inside this box -> [+] U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

			Application Number	09/900,468	\			
TRANSMITTAL FORM (to be used for all correspondence after initial filing)			Filing Date	July 9, 2001				
			First Named Inventor	Joe Cargnelli et al				
			Group Art Unit	1745				
			Examiner Name					
Total Number of Pages in This Submission 9				Initial Patent Examination Division				
Total Number of Pages	s in this Submission		Attorney Docket Number	9351-62 HSF	_			
		T	OSURES (check all that apply)	TD				
Fee Transmittal F	om		ment Papers Application)	After Allowance Communication to Group				
Fee Attached		☐ Drawing(s)		Appeal Communication to Board of Appeals and Interferences				
Amendment / Response		Licensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)				
After Final		Petition		Proprietary Information				
Affidavits/declaration(s)		Petition to Convert to a Provisional Application		Status Letter				
Extension of Time Request		Power of Attorney, Revocation Change of Correspondence Address		Other Enclosure(s) (please identify below):				
Express Abandonment Request		☐ Terminal Disclaimer ☐ Request for Refund						
Information Disclosure Statement		CD, Number of CD(s)						
Certified Copy of Priority Document(s)		Rema	rks					
Response to Missing Parts/ Incomplete Application								
Response to Missing Parts under 37 CFR 1,52 or 1,53								
	SIGNA	TURE OF	APPLICANT, ATTORNEY, O	R AGENT				
Firm or Individual name	BERESKIN & PARR		$\alpha \wedge$					
Signature AS		al a						
Date	February 19, 2002							
		CE	RTIFICATE OF MAILING		<u> </u>			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope								
addressed to: Assista	ant Commissioner for	Patents, Wa	shington, D.C. 20231 on this date	e:				
Typed or printed name								

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231,

Date



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRACEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/900,468

07/09/2001

Joe Cargneli

9351-62

CONFIRMATION NO. 4144

FORMALITIES LETTER

"OC000000007211097"

Bereskin & Parr Box 401 40 King Street Toronto, ON M5H 3Y2 CANADA

Date Mailed: 12/19/2001

NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

A filing date has been accorded to the above-identified nonprovisional application papers; however, the following item(s) appear to have been omitted from the application:

- Figure(s) 9a, 9b, 10a, 10b, 10c, 11a, 11b, 12 described in the specification.
- I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit must be filed within TWO MONTHS of the date of this Notice. The petition fee will be refunded if is determined that the item(s) was received by the USPTO.
- II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date must be filed within TWO MONTHS of the date of this Notice.
- III. The failure to file a petition (and petition fee) under the above options (I) or (II) within TWO MONTHS of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b). In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any ornitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

A copy of this notice MUST be returned with the reply.

Customer Service Center Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

416









United States Patent and Trademark Office

COMMISSIONER FOR PATENTS United States Patent and Trademark Office WASHINGTON D.C. 20231 WYW UNDTO COV

application number	FILING/RECEPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/900,468	07/09/2001	Joe Cargneli	9351-62

CONFIRMATION NO. 4144

FORMALITIES LETTER

Bereskin & Parr Box 401 40 King Street Toronto, ON M5H 3Y2 CANADA

Date Mailed, 08/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION 12/18/2001 SSALEEKU 00000014 022095 09900468

01 FC:101 02 FC:103

03 FC:105

ű

T,

THE THE

740.00 CH

FILED UNDER 37 CFR 1.53(b)

360.00 CH 130.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a),

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27),
- Total additional claim fee(s) for this application is \$630.
 - \$360 for 20 total claims over 20.
 - \$270 for multiple dependent claim surcharge.
- · The cath or declaration is missing.
 - A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- . To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1470.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because.
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

12/18/2001 SSALEEKU 00000015 022095 09900468

01 FC:104

280.00 CH

A copy of this notice MUST be returned with the reply.

416

Customer Serv

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE